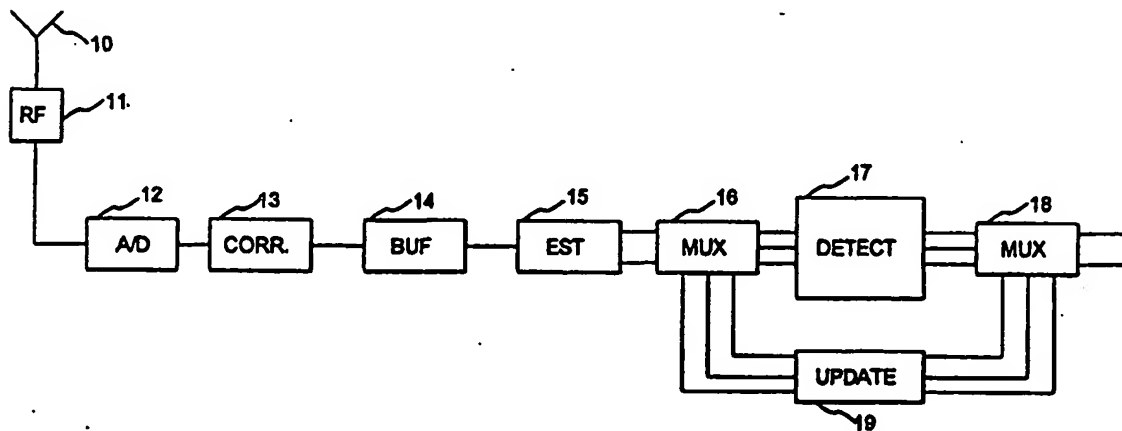


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>H04B</b>	<b>A2</b>	(11) International Publication Number: <b>WO 95/28772</b> (43) International Publication Date: 26 October 1995 (26.10.95)
(21) International Application Number: <b>PCT/FI95/00217</b> (22) International Filing Date: 13 April 1995 (13.04.95)  (30) Priority Data: 941788                      18 April 1994 (18.04.94)                      FI  (71) Applicant (for all designated States except US): <b>NOKIA TELECOMMUNICATIONS OY [FI/FI]; Mäkkylän puistotie 1, FIN-02600 Espoo (FI).</b>  (72) Inventor; and (75) Inventor/Applicant (for US only): <b>HOTTINEN, Ari [FI/FI]; Kielotie 30-32 C 25, FIN-01300 Vantaa (FI).</b>  (74) Agent: <b>TEKNOPOLIS KOLSTER OY; Oy Kolster AB, Iso Roobertinkatu 23, P.O. Box 148, FIN-00121 Helsinki (FI).</b>		(81) Designated States: AU, CN, DE, GB, JP, NO, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>In English translation (filed in Finnish).          Without international search report and to be republished upon receipt of that report.</i>

(54) Title: RECEIVING METHOD AND RECEIVER



## (57) Abstract

The invention relates to a receiver, and to a receiving method for use in a telecommunication system, in which a number of signal components are detected simultaneously from a received signal. In order that the received signal might be detected as optimally as possible, the received signal is divided in the time domain into blocks of a certain length, and the blocks are subjected to simultaneous estimation of user data and channel parameters in the receiver by recursive processing of each block.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

## Receiving method and receiver

5           The invention relates to a receiving method for use in a telecommunication system in which a number of signal components are detected simultaneously from a received signal.

10           One central problem in the design and implementation of telecommunication systems is simultaneous transmission of signals to and reception from several simultaneous users such that interference between the signals is minimal. Because of this and the transmission capacity used, various transmission protocols and multiple access methods have been developed, the most common in mobile phone communication  
15           being FDMA and TDMA methods, and recently the CDMA method also.

20           CDMA is a multiple access method based on a spread spectrum technique, and it has recently been put into use in cellular radio systems in addition to previously used FDMA and TDMA. CDMA has many advantages over the prior methods, such as simplicity of frequency planning, and spectrum efficiency.

25           In the CDMA method, a narrow-band data signal of a user is multiplied to a relatively broad band by a spreading code having a much broader band than the data signal. Band widths used in known test systems include e.g. 1.25 MHz, 10 MHz and 25 MHz. During the multiplication, the data signal spreads over the entire band to be used. All the users transmit simultaneously  
30           on the same frequency band. On each connection between a base station and a mobile station, a different spreading code is used, and the signals of the users can be distinguished from one another in the receivers on the basis of the user's spreading code. If possible, the  
35           spreading codes are selected in such a way that they are

mutually orthogonal, i.e. they do not correlate with one another.

Correlators in conventionally implemented CDMA receivers are synchronized with a desired signal, which they recognize on the basis of the spreading code. In the receiver the data signal is restored to the original band by multiplying it by the same spreading code as in the transmission step. Ideally, the signals that have been multiplied by some other spreading code do not correlate and are not restored to the narrow band. In view of the desired signal, they thus appear as noise. The object is thus to detect the signal of the desired user from among a number of interfering signals. In practice, the spreading codes correlate, and the signals of the other users make it more difficult to detect the desired signal since they distort the received signal non-linearly. This interference caused by the users to one another is called multiple access interference.

In a telecommunication system applying the TDMA multiple access method, there are several frequencies in use, each frequency being divided into time slots, in which the signals of different users are inserted. Each user thus has a time slot of its own. Since the frequency range reserved for the system is usually limited, the frequencies used must usually be repeated in cells located within a certain distance. For high frequency efficiency, the distance must be kept as short as possible. As a result, different transmissions at the same frequencies interfere with one another. In a certain time slot, a noise signal is thus also heard in the receiver in addition to the desired signal, the noise signal coming from some other connection using the same frequency.

The single-user detection method described above in connection with CDMA is not optimal, since the

information contained in the signals of the other users is not taken into account in the detection. In addition, non-linearities caused by partly non-orthogonal spreading codes and distortion of the signal over the radio path cannot be corrected by a conventional detection method. In an optimal receiver, all information contained in the signals of the users is taken into account so that the signals can be optimally detected using e.g. a Viterbi algorithm. The advantage of this detection method e.g. in a CDMA system is that the bit error ratio curves of the receiver are similar to a situation in a single-user CDMA system where no multiple access interference occurs. For example, a near-far problem, which is typical of CDMA systems, does not arise. A near-far problem is a situation where the transmission from a transmitter close to the receiver blankets the more distant transmitters. The major drawback of the Viterbi algorithm is that the computing efficiency that it requires increases exponentially with the number of users. For example, with QPSK modulation, a ten-user system with a bit rate of 100 kbit/s would require 105 million operations per second for computing a probability function. In practice, this makes it impossible to implement an optimal receiver.

An optimal receiver, however, can be approximated by different methods. Prior art teaches various methods for simultaneous multiuser detection (MUD). The best known methods of this kind are linear multiuser detection, a decorrelating detector and a multistage detector. These methods are described in greater detail in Varanasi, Aazhang, 'Multistage detection for asynchronous code division multiple access communications,' *IEEE Transactions on Communications*, Vol. 38, pp. 509-519, Apr. 1990; Lupas, Verdu, 'Linear multiuser detectors for synchronous code-division

multiple access channels,' *IEEE Transactions on Information Theory*, Vol. 35, No. 1, pp. 123-136, Jan. 1989; and Lupas, Verdu, 'Near-far resistance of multiuser detectors in asynchronous channels,' *IEEE Transactions on Communications*, Vol. 38, Apr. 1990. The disadvantage of all these methods, however, is that they do not track changes on the radio channel.

In the detection of a multiuser signal, it is previously known to use an adaptive signal dot matrix which tracks changes on the channel. The method is described in international patent application PCT/FI94/00503, which is incorporated herein by reference. The disadvantage of the method, however, is that as the number of simultaneous users increases, the computing capacity needed increases exponentially.

Further, international patent application PCT/US93/01154 teaches recursive estimation of changes on a radio channel, but the method is restricted to computation of weighting coefficients of the signals, which are useful in the detection of a single-user signal.

The present invention provides a new way of approximating an optimal receiver. The method is more resistant to interference over the radio path. Conventional multiuser detection algorithms are specific to the channel model on the basis of which they have been designed. A theoretical channel model is not relevant to the method of the invention, since the algorithm as such aims at simulating distortions on the channel. The method adapts to the current situation even if the origin of the interference is unknown. For example, a signal received in CDMA may comprise transmissions whose spreading codes are not known to the receiver. These may include, for example, transmissions from a neighbouring cell. The same situation arises in

the TDMA method. Further, the method adapts more quickly and requires much less computing capacity than the earlier neural network applications.

5 The above is achieved with a method of the type described in the introduction, the method being characterized in that the received signal is divided in the time domain into blocks of a certain length, and that the blocks are subjected to simultaneous estimation of user data and channel parameters in the receiver by  
10 recursive processing of each block.

The invention also relates to a receiver comprising an antenna, radio frequency parts, an A/D converter and means for processing a received signal. The receiver of the invention is characterized by  
15 comprising means for dividing the received signal in the time domain into blocks of a certain length, said means being operatively connected to the means for processing the received signal; means for setting the initial values for estimation, said means being operatively  
20 connected to the means for dividing the received signal into blocks; first switching means operatively connected to the means for setting the initial values for estimation and to means for updating the estimation parameters; means for simultaneous estimation of user  
25 data and channel parameters, said means being operatively connected to the first switching means; second switching means, which are operatively connected to the estimation means and the output of which yields an estimate for the received signal; and means for  
30 updating the estimation parameters, the input of said means being operatively connected to the second switching means and the output to the first switching means.

The method of the invention makes it possible  
35 to approximate an optimal receiver with a desired

accuracy. The receiver of the method adapts quickly and accurately to the propagation conditions on the radio path that change at random with time and distort the received signal. The advantage of the method is its  
5 linear complexity in relation to the number of simultaneous users. The advantages of the method thus become more apparent when the number of users is large.

In one preferred embodiment of the invention, simultaneous detection of a signal and channel  
10 parameters is implemented by the use of an adaptive signal dot matrix, which is controlled by means of an adaptive associative network, with recursive processing of the received signal.

In another embodiment of the invention, a  
15 weighting coefficient is also computed in connection with the detection, the coefficient being used for assigning user data to a correct signal dot matrix group. The weighting coefficient can also be used in recursive detection of data and in its later processing.

20 In the following the invention will be described in greater detail with reference to the examples illustrated in the attached drawings, in which

figs. 1a and 1b illustrate the configuration of a received signal at the output of matched filters,  
25 fig. 2 illustrates an example for dots indicated by codebook vectors,

fig. 3 illustrates movement of a signal dot matrix when a receiver is processing a signal received recursively,

30 fig. 4 is a block diagram illustrating an example for the structure of a receiver of the invention, and

fig. 5 illustrates another example for the structure of a receiver of the invention.



In the following the method of the invention will be described with reference to a telecommunication system in which the CDMA multiple access method is applied. The method, however, is also suited for use in systems using other multiple access methods.

In digital telecommunication, modulating signals are given only discrete values, such as  $\pm A_c$ ,  $\pm 3A_c$ , at the sampling moment. In a receiver, the discrete values must thus be identified from an often distorted signal that has passed over the radio path. Fig. 1 illustrates an ideal double-user signal pattern, i.e. a dot density function of the received signals, the peaks of the function being found at crosspoints. Each dot in the two-dimensional pattern stands for one possible value of a received signal, the value depending on the values of the signals transmitted by the users. Dot A1, for example, could stand for (1,1), in which the first user has transmitted 1, and the second user has transmitted 1. Correspondingly, dot A2 could stand for (-1,1), in which the first user has transmitted -1, and the second user has transmitted 1. Dot A3 could then stand for (-1,-1), and dot A4 for (1,-1). If there were three users, the pattern would be three-dimensional; and as the number of users increases, the dimension of the pattern increases accordingly.

Fig. 2 illustrates distortion of the signal pattern at the output of the filters matched to spreading codes in the receiver, the distortion being caused by non-orthogonal codes or taking place over the radio path. The peaks of the dot density function have widened, and shifted because of the distortion. The dots for the received signals have shifted from their ideal positions, and the function of the receiver is to interpret the received signals as being assigned to a predetermined signal dot.

If decisions were made totally linearly, there would be many incorrect decisions because of the distorted dot matrix, as shown in fig. 2. By the method of the invention, it is possible to implement piecewise linear decision boundaries, by which it is possible to approximate optimal non-linear detection with a desired accuracy.

Let the system have  $K$  users, i.e. CDMA transmitters, each of which has its own individual spreading code  $S_k$ ,  $k=1,2,\dots,K$ , which is non-zero in the interval  $[0,T]$ , where  $T$  stands for the duration of the symbol. All the users transmit in the same frequency band, and each modulates the data bit  $b_k^{(i)}$  by its own spreading code. The function of the receiver is then to demodulate the signal, which e.g. in the BPSK modulation method is defined by

$$r(t) = \sum_i \sum_{k=1}^K \sqrt{w_k} b_k^{(i)} S_k(t-iT) + n(t), \quad t \in R,$$

where  $n(t)$  is a noise term,  $b_k^{(i)} \in \{-1,1\}$  stands for the information bit of the  $k$ th user in the  $i$ th time slot, and  $w_k$  stands for the energy of the received signal of the  $k$ th user.

In multiuser detection, decisions about the received signals are made simultaneously for all the  $K$  users. Let the channel in this example be a Gaussian channel, and let the bits transmitted by all the  $K$  users simultaneously at a certain moment be defined by the vector  $b \in \{-1,1\}^K$ . The maximum likelihood decision made in the receiver is known to be based on a logarithmic probability function

$$b_{opt} = \arg_{b \in \{-1,1\}^K} \max \{2y^T b - b^T H b\}$$

where the channel matrix  $H = RW$ , in which  $R$  is a cross-correlation matrix between the used spreading codes, i.e.  $(R)_{ij} = \langle S_i, S_j \rangle$ ,  $i, j = 1, 2, \dots, K$ , and  $W$  is a diagonal matrix of the user energies, i.e.  $W = \text{diag}(w_1, \dots, w_K)$ .  
 5 The vector  $y = (y_1, \dots, y_K)^T$  consists of the matched filter outputs of the receiver. The above equation can be solved with a Viterbi type algorithm, but the complexity of the computation makes it impossible to implement an optimal receiver of this kind in practice,  
 10 as stated above.

In the receiving method of the invention, multiuser data and channel parameters are thus detected simultaneously using a recursive detector. The channel parameters here comprise all channel information needed  
 15 for making a decision concerning data detection, such as the power, phase and delay of each received signal, and correlation between the different signal components.

In a preferred embodiment of the invention, detection is based on a detection method based on an  
 20 adaptive multidimensional signal dot matrix. After the RF parts and A/D conversion, the received signal is supplied to signal preprocessing means, which in CDMA may be e.g. matched filters. The signal is then divided in the time domain into blocks of a certain length,  
 25 which are then processed recursively in the receiver. In recursive detection, the same signal block is processed a desired number of times. From each signal block, i.e. signal received within a certain time slot, the user data and channel parameters are detected by  
 30 common estimation. The signal blocks are not necessarily totally separate in the time domain but, if necessary, overlap in part. Samples can be picked from each block in a desired order and a desired number of times. The samples are processed either one at a time or blockwise.  
 35 In common estimation, the contents of each block are

used at least once. The channel parameters and data of a specified period of time are estimated on the basis of the signal received in that particular period of time.

5           In the detection, the signal received from the signal preprocessing means, which can be expressed as vector  $y$ , is assigned to a signal dot matrix group to which the nearest codebook vector, e.g.  $m_i$ , belongs. For finding the closest codebook vector, a distance function  
10  $d(y, m_i)$  is used, the function corresponding to the criterion used in the detection. The closest codebook vector is thus obtained by the distance function, using the formula

15 
$$c = \arg \min_i \{d(y, m_i)\}.$$

          An often used computation method for determining the distance to the closest codebook vector  
20 is to compute the Euclidean distance by the formula

$$c = \arg \min_i \{\|y - m_i\|\}.$$

25           The distance functions determined by the two equations above - both the optimal and the Euclidean distance function - lead to exponential complexity as the number of users increases. This results in a heavy computational load in the receiver.

30           In a preferred embodiment of the invention, a signal is classified using an associative network, because of which the complexity increases linearly and the computational load in the receiver is significantly reduced. The theory of an associative network is  
35 described in greater detail in Hecht-Nielsen: *Neurocomputing*, Addison-Wesley, New York, 1989, chapters

4 and 5. Detection based on the description of the associative network is either linear or non-linear.

An example for non-linear detection is the Hopfield neural network, which is described in Kechriotis, Manolakos: 'Implementing the Optimal CDMA Multiuser Detector with Hopfield Neural Network', *Proceedings of the International Workshop of Applications of Neural Networks to Telecommunications*, Lawrence Erlbaum Associates, Publishers 1993, ed. J. Alspehtor, R. Goodman, T. Brown, and in Varanasi, Aazhang mentioned above. The use of an associative network makes it possible to reduce the number of computations both in channel estimation and in data detection, and the associative network can be implemented with a desired complexity, depending on the channel and the desired number of computations. The energy function of the Hopfield complex hetero-associative network in BPSK is

$$E = 2\text{Re}(y^{(0)T}Wb) - b^TWRWb,$$

which can also be expressed as

$$E = 2b^T T - b^T C b,$$

where

$$C = WRW,$$

and

$$T = Wy^{(0)}.$$

Channel matrices  $C(i)$  and  $T$  are typically different for each symbol since channel parameter matrix  $W$  varies with time. The associative network must thus also be adaptive.

Assume that the bit estimates are produced by the associative network and that the channel parameters vary with time. The channel parameters can be learnt by

associative mapping. The associative mapping must learn mapping  $y = Hb$ , given sample pairs  $(y^{(i)}, \underset{b^{(i)}}{\sim})$ . The learning may be implemented by using estimated symbols according to

$$\hat{H}^{(i+1)} = \hat{H}^{(i)} + \alpha_i (y^{(i)} - \hat{H}^{(i)} \hat{y}^{(i)}) \hat{y}^{(i)T},$$

$$\text{if } y^{(i)}, \hat{y}^{(i)} \in \omega_n$$

$$\hat{H}^{(i+1)} = \hat{H}^{(i)} - \alpha_i (y^{(i)} - \hat{H}^{(i)} \hat{y}^{(i)}) \hat{y}^{(i)T},$$

$$\text{if } y^{(i)} \in \omega_n, \hat{y}^{(i)} \in n\omega_m, n \neq m$$

or

$$\hat{H}^{(i+1)} = \hat{H}^{(i)} + \alpha_i (y^{(i)} - \hat{H}^{(i)} \hat{y}^{(i)}) \hat{y}^{(i)T}$$

$$+ \sum_{\hat{b}^{(i)} \in N_{\hat{b}^{(i)}}} \beta_i (y^{(i)} - \hat{H}^{(i)} \hat{y}^{(i)}) \hat{y}^{(i)T}$$

Indices  $(i)$  and  $(i+1)$  above are thus iterative indices and not data indices. The same data can be run through the above formulae a plural number of times.

An example for linear detection is e.g. decorrelating detection, in which an associative network can thus be used as a decision rule.

In a preferred embodiment of the invention, the associative network can be implemented by channel matrix  $H$ . The channel matrix and signal dot matrix are related to each other according to

$$m_i = Hb_i,$$

where  $b_i$  denotes a bit vector of the user. In practice this means that when codebook vector distances are computed, only linearly independent codebook vectors are taken into account.

5           In a recursive loop, the channel matrix is estimated more and more accurately in every round on the basis of the same signal block, which makes decision-making more accurate.

10           In a preferred embodiment of the invention, two alternative adaptive correction methods can be used: learning vector quantization LVQ and self-organizing map SOM.

15           When LVQ is used, an adaptive signal dot matrix is corrected by means of a training sequence contained in the received signal. The receiver is thus able to adapt to the distortion of the received signal by distorting the signal dot matrix accordingly. The method is described in greater detail in Teuvo Kohonen, *Self-Organization and Associative Memory*, Springer-Verlag, Berlin-Heidelberg-New York-Tokyo, 3rd ed., 1989.

20           When the receiver corrects the adaptive signal dot matrix by means of a self-organizing map SOM, a separate training sequence is not needed. Like LVQ, the SOM method has earlier been applied to pattern  
25           recognition problems, and it is described in greater detail both in the above reference and in Teuvo Kohonen, 'The Self-Organizing Map,' *Proceedings of the IEEE* 78(9), pp. 1464-1480, 1990; Kohonen, 'Generalizations of the Self-Organizing Map,' *Proc. of the International Joint Conference on Neural Networks IJCNN'93*, Nagoya, Japan, October 25-29, 1993; Kohonen, 'Things You Haven't  
30           Heard about the Self-Organizing Map,' *Proceedings of the 1993 IEEE Int. Conf. Neural Networks*, San Francisco, U.S.A., Mar. 28 to Apr. 1, 1993, pp. 1147-1156; and  
35           Kohonen, Raivio, Simula, Henriksson, 'Start-Up Behaviour

of a Neural Network Assisted Decision Feedback Equalizer in a Two-Path Channel,' *Proc. of IEEE Int. Conf. on Communications*, Chicago, U.S.A., June 14-18, 1992, pp. 1523-1527.

5           In the following, both the above methods are illustrated with respect to the method of the present invention.

10           An optimal multiuser CDMA receiver functions non-linearly in response to an exhaustive identifier obtained from the filters matched to the spreading codes. The LVQ and SOM methods can here be used for estimating optimal Bayesian decision boundaries. The Bayesian decision boundaries distinguish the classes with a minimum number of mistakes.

15           Every discrete signal space possible can be considered to form its own class  $\omega_k$ . Each class is defined by a group of codebook vectors whose dimension can be defined to vary with the application.

20           The number of codebook vectors per class depends on the desired accuracy of approximation. If each class comprises only one codebook vector, the decision boundaries are linear. The more codebook vectors there are, the more accurately decision making approximates an optimal receiver when the decision  
25           boundaries are piecewise linear and the complexity increases with the number of codebook vectors. Each class may also comprise a different number of codebook vectors. Each codebook vector points to a dot that represents the class. When a preliminary number of code-  
30           book vectors have been assigned to each class and stored in an associative network, the following LVQ algorithm can be used for estimating the true channel matrix  $H$ .

          The information obtained from the output of the matched filters is supplied to an LVQ block, which



iteratively updates the matrix in accordance with the following equations:

$$\begin{aligned} \hat{H}_{i+1} &= \hat{H}_i + \alpha_i (y - \hat{H}_i \hat{\delta}_i) \hat{\delta}_i^T, \quad \text{if } y, \hat{\delta}_i \in w_i \\ \hat{H}_{i+1} &= \hat{H}_i - \alpha_i (y - \hat{H}_i \hat{\delta}_i) \hat{\delta}_i^T, \quad \text{if } y \in w_i, \hat{\delta}_i \in w_j, i \neq j \end{aligned}$$

where the individual learning rates are defined e.g. by

$$\alpha_{i+1} = \frac{\alpha_i}{1 + s_i \alpha_i},$$

where  $s_i=1$  stands for correct classification and -1 for incorrect classification. The selected decision algorithm gives the decisions  $\hat{\delta}_i$ .

A signal received by LVQ contains a known training sequence, on the basis of which the detector (either adaptive signal dot matrix or adaptive associative network) is updated.

In a method based on a self-organizing map, the learning process, i.e. correction of codebook vectors, differs from LVQ in that the received signal does not contain a special learning sequence but the self-organizing map assigns codebook vectors in an adaptive signal dot matrix directly on the basis of the received signal to where the number of the received signal dots is the largest. It thus automatically adapts to the received signal. In SOM the matrix is updated e.g. according to the following equation:

$$\begin{aligned} \hat{H}_{i+1} &= \hat{H}_i + \alpha_i (y_i - \hat{H}_i \hat{\delta}_i) \hat{\delta}_i^T \\ &\quad + \sum_{\hat{\delta}_j \in N_{\hat{\delta}_i}} \beta_j (y_i - \hat{H}_i \hat{\delta}_j) \hat{\delta}_j^T \end{aligned}$$

where  $\hat{\delta}_i$  is the symbol estimate given by the selected decision algorithm,  $\hat{\delta}_j$  denotes an alternative rival bit

sequence, and  $N_{E_i}$  denotes a set of rival decisions. Rival decisions are a set of second best - but not best-matching - classifications of the received signal.

5         $\alpha_i$  and  $\beta_i$  are convergent coefficients, by which the operation of the detector can be controlled. The coefficients can be selected such that the detector adapts to a stationary channel, or alternatively the detector tracks the non-stationary changes of the channel.

10        The implementation utilized in the above is that a weighting coefficient is also computed in connection with the detection, and the user data is assigned to the appropriate signal dot matrix on the basis thereof. The weighting coefficient can be utilized  
15        both in recursive detection and later processing of data. This method makes it possible to eliminate improbable decisions and thereby speed further computation.

20        A weighting coefficient for the accuracy of detection is determined by computing a function of the probability of the estimated class of the signal and, if necessary, the probabilities of the rival classifications. The probabilities can be estimated using an estimated channel matrix. The computed  
25        weighting coefficient can also be called a confidence coefficient.

30        The computed weighting coefficient can thus be used for both classifying the signal and updating the detector. Updating of an associative network or adaptive signal dot matrix can be based on both the signal classification conducted and the computed confidence coefficient relating thereto. In addition, it is possible to take into account in the updating procedure

the ideal classification, and a set of second best classifications and their weighting coefficients.

5       The primary advantage of the above method, in which both the actual decision and a set of second best classifications are thus used, is that the receiver knows how reliable the decision is. When the computed weighting coefficients show that the decision is reliable, even major changes can be made in the receiver, whereas with unreliable decisions, changes are  
10       made gradually.

The method of the preferred embodiment of the invention can be illustrated by the following solution:

Step 1: Set the initial values in matrix  $\hat{H}_0$ .

15       Step 2: Read the matched filter output and divide it into blocks.

Step 3: Classify the signal of the block that is being processed by the detector routines described above.

20       Step 4: Update the associative network using e.g. the above formulae.

Step 5: If the block has been processed a desired number of times, change the block to be processed.

25       Step 6: Go to step 3.

30       In the following, we shall illustrate the method of the invention by a simple example. Let the system have two active users with spreading codes  $S_1=[1,1,1]$  and  $S_2=[1,-1,1]$ , the cross-correlation of the codes being  $1/3$ . The channel is assumed to be a Gaussian channel. In the receiver, the signal/noise ratio of the first user is 8 dB and that of the second user is 10 dB. The matrix  $W$  thus has the value  $W = \text{diag}(1,3.16)$ . The initial value of the matrix  $\hat{H}_0$  in a detector using a self-organizing map is set to be the identity matrix  $I$ .

Fig. 3 illustrates shifting of a signal dot matrix as the receiver recursively processes a received signal. At the starting point, the dots of the identity matrix were set as the initial value, but now the dot matrix has shifted towards the actual signal distorted by the channel.

In a preferred embodiment of the invention, an associative network can be implemented by a cross-correlation matrix  $R$ . As described above, the channel matrix  $H$  is defined by the product  $H = RW$ , where  $R$  is the cross-correlation matrix of the spreading codes used, i.e.  $(R)_{ij} = \langle S_i, S_j \rangle$ ,  $i, j = 1, 2, \dots, K$  and  $W$  is the diagonal matrix of the user energies,  $W = \text{diag}(w_1, \dots, w_K)$ .

When only a cross-correlation matrix is used, no energy matrix  $W$  is needed in the computation, and this makes computing faster as compared with the use of a channel matrix.

Fig. 4 shows the structure of a receiver according to the invention, in this example a base station receiver. The invention, however, can also be used in a mobile station in a similar way. The receiver comprises an antenna 10, which supplies the received signal through radio frequency parts 11 to an A/D converter 12. The converted signal is supplied to means 13, which preprocess the received signal. In a preferred embodiment of the invention, the means may be matched filters implemented e.g. with a plural number of RAKE receivers, each of which receives signalling from one user. Each RAKE receiver comprises several separate correlators that are able to receive one multipath-propagated signal component. The received signal components are preferably combined in the RAKE receiver. The structure of the RAKE receiver is described in greater detail in G. Cooper, C. McGillem: *Modern*

*Communications and Spread Spectrum*, McGraw-Hill, New York, 1986, chapter 12.

The receiver of the present invention, however, can also be implemented without RAKE receivers. An alternative is, for example, to increase the dimension of the associative network or the signal dot matrix. For example, if the channel model of the users has 1 taps, the dimension of the signal dot matrix or associative network is increased to be 1-fold.

From the matched filters, the signal is supplied to means 14, which divide the received signal in the time domain into blocks of a desired length and store the blocks for the duration of the processing. Each signal block is recursively processed in the receiver a desired number of times, whereafter the next block is obtained from means 14 for processing. From the dividing means 14, the signal is first supplied to means 15, where the initial values for estimation are set. In other words, the desired initial values are set in an associative network, such as a channel matrix, for the first round of estimation.

The division into blocks is illustrated by the following example. Let the output of matched filters 13 comprise samples  $z_1, \dots, z_j, z_{j+1}, \dots, z_{j+i}$ . If the length of a block is e.g.  $j$ , the block can be formed such that the first block comprises samples  $L_1 = \{z_1, \dots, z_j\}$  and the second block samples  $L_2 = \{z_i, \dots, z_{j+i}\}$ , where  $i > 1$ . If  $i=j+1$ , the blocks do not comprise any of the same samples, and do thus not overlap.

In means 15, the initial values for estimation are set by giving said blocks to the estimation algorithm a desired number of times, and by using the estimates obtained earlier as the initial values for the bits and channel parameters. If no earlier estimates are available, the parameters are estimated by some known

method; in CDMA, for example, the parameters can be initialized by using the outputs of the RAKE branches. Alternatively, an ML method or a suboptimal ML method can be used.

5               From the setting means 15, the signal and the initial estimate of the associative network are supplied through a first switching means 16 to a detector means 17, where the data and channel parameters are detected simultaneously by an associative network using e.g. the  
10               above-described methods, such as LVQ and SOM. The output of the detector means thus gives the estimate for the signal and the channel parameters, and the instant values for the associative network. In one preferred embodiment, the probability of detection accuracy is  
15               also computed in the detector means 17, whereby this value may also be included in the output of the means 17.

              The output of the detector means is supplied to second switching means 18, from whose output a signal  
20               estimate and the probability value for the estimate are obtained for further processing in the receiver. From switching means 18, the output of the detector means 17 is supplied further to estimation parameter updating means 19, where the associative network is updated on  
25               the basis of the results computed in the previous round.

              The signal obtained from the updating means 19, which thus comprises updated parameters of the associative network, is supplied through the first  
30               switching means 16 back to the detector means 17, where the same data is re-detected by means of the updated associative network.

              In the above-described recursive loop, each signal block is circulated a desired number of times, after which one starts to process the next signal block.  
35               In order that the processing might take place in real

time, the detection process must naturally be faster than the data stream of the user to the receiver.

According to one embodiment of the invention, estimated channel parameters, such as received signal powers, which can be utilized e.g. in power control, are also forwarded from the second switching means.

Fig. 5 is a block diagram showing another receiver of the invention. As above, the receiver comprises an antenna 10, RF parts 11 and an A/D converter 12. After this, the received signal is supplied to signal estimation means 50, which monitor the conversion rate of the received signal. The receiver comprises two estimation blocks 51, 52. In the first estimation block 51, the associative network is implemented using a channel matrix. In the second estimation block 52, the associative network is implemented using a cross-correlation matrix. The structure of the estimation block is similar to that of fig. 4, comprising means 15 for initializing estimation, detector means 17, first and second switching means 16, 18, and estimation parameter updating means 19. The means 14 for dividing a signal into blocks can be arranged to be either common to both blocks, or within each block. In the figure, each block has its own means 14 for dividing the signal into blocks.

Means 50 thus monitor the conversion rate of the received signal, and if the conversion rate is high, a detector block is used which utilizes a cross-correlation matrix and is thus fast. If the conversion rate of the signal is low, a detector block utilizing a channel matrix is used.

Although the invention has been described above with reference to the example illustrated in the attached drawings, it is to be understood that the invention is not limited thereto but can be modified in

many ways without deviating from the inventive idea disclosed in the attached claims.



## Claims

1. A receiving method for use in a tele-  
communication system in which a number of signal  
5 components are detected simultaneously from a received  
signal, c h a r a c t e r i z e d in that the received  
signal is divided in the time domain into blocks of a  
certain length, and that the blocks are subjected to  
simultaneous estimation of user data and channel  
10 parameters in the receiver by recursive processing of  
each block.

2. A method of claim 1, c h a r a c t e r -  
i z e d in that some of the signal components are  
obtained from many different users.

3. A method of claim 1 or 2, c h a r a c -  
t e r i z e d in that an adaptive signal dot matrix is  
utilized in the detection of signal blocks, and that the  
distance between a momentary signal sample of a received  
signal and vectors pointing to the dots on the signal  
20 dot matrix is computed, and that the signal sample is  
assigned to a certain dot on the signal dot matrix by  
selecting the dot where the distance between the  
codebook vector pointing thereto and the momentary  
signal sample is the shortest.

4. A method of claim 3, c h a r a c t e r -  
i z e d in that the dots on the adaptive signal dot  
matrix are placed in correct positions by means of a  
known training sequence contained in the received  
signal.

5. A method of claim 3, c h a r a c t e r -  
i z e d in that the dots on the adaptive signal dot  
matrix are placed in correct positions on the basis of  
the signal classification conducted.

6. A method of claim 3, c h a r a c t e r -  
i z e d in that a signal sample is classified by means  
of an adaptive associative network.

5 7. A method of claim 6, c h a r a c t e r -  
i z e d in that the adaptive associative network is  
updated by means of a known training sequence contained  
in the received signal.

10 8. A method of claim 6, c h a r a c t e r -  
i z e d in that the associative network is implemented  
by means of a channel matrix.

15 9. A method of claim 3 or 6, c h a r a c -  
t e r i z e d in that a weighting coefficient is  
computed for the detected symbols of the received  
signal, the coefficient indicating reliability of the  
symbol obtained in the detection.

20 10. A method of claims 3 and 9, c h a r a c -  
t e r i z e d in that the dots on the adaptive signal  
dot matrix are placed in correct positions on the basis  
of the ideal classification, and a number of second best  
classifications and weighting coefficients computed for  
them.

25 11. A method of claims 6 and 9, c h a r a c -  
t e r i z e d in that the adaptive associative network  
is updated on the basis of the ideal signal  
classification, and a number of second best  
classifications and weighting coefficients computed for  
them.

30 12. A method of claim 1, c h a r a c t e r -  
i z e d in that a CDMA multiple access method is used  
in the telecommunication system.

13. A receiver comprising at least an antenna  
(10), radio frequency parts (11), an A/D converter (12)  
and means (13) for processing a received signal,  
c h a r a c t e r i z e d by comprising

means (14) for dividing the received signal in the time domain into blocks of a certain length, said means being operatively connected to the means (13) for processing the received signal;

5 means (15) for setting the initial values for estimation, said means being operatively connected to the means (14) for dividing the received signal into blocks;

10 first switching means (16) operatively connected to the means (15) for setting the initial values for estimation and to means (19) for updating the estimation parameters;

15 means (17) for simultaneous estimation of user data and channel parameters, said means (17) being operatively connected to the first switching means (16);

second switching means (18), which are operatively connected to the estimation means (17) and the output of which yields an estimate for the received signal; and

20 means (19) for updating the estimation parameters, the input of said means being operatively connected to the second switching means (18) and the output to the first switching means (16).

25 14. A receiver of claim 13, c h a r a c t e r - i z e d in that an adaptive signal dot matrix is used in the detection of signal blocks in the detector means (17), and that the detector means (17) are responsive to the received signal, which is being processed, in correcting the signal dot matrix used in the detection.

30 15. A receiver of claim 14, c h a r a c t e r - i z e d in that the receiver comprises means (17) for simultaneous estimation of user data and channel parameters by means of an adaptive associative network, and that the detector means (17) are responsive to the

received signal, which is being processed, in updating the adaptive associative network used in the detection.

16. A receiver of claim 13, c h a r a c -  
t e r i z e d in that the receiver comprises means (17)  
5 for computing a weighting coefficient for the detected  
symbols of the received signal, the coefficient  
indicating reliability of the symbol obtained in the  
detection.

17. A receiver of claim 13, c h a r a c -  
10 t e r i z e d in that the receiver comprises means (50)  
for monitoring the conversion rate of the received  
signal, and at least two different detector blocks (51,  
52) for detecting the signal, and means (50) for  
selecting a detector block for detecting a received  
15 signal on the basis of the conversion rate of the  
received signal.

1/3

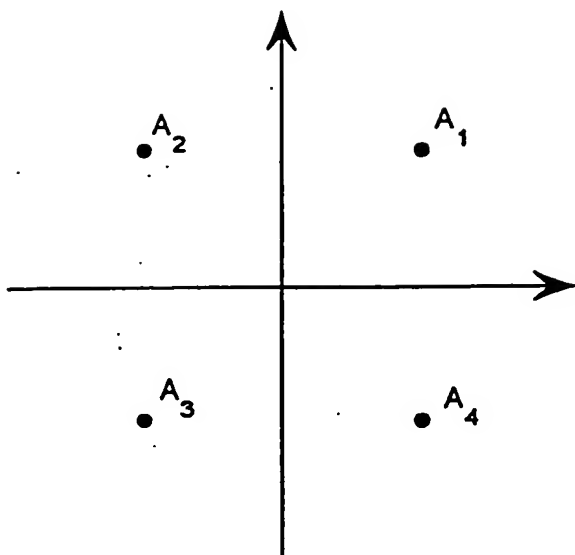


FIG. 1a

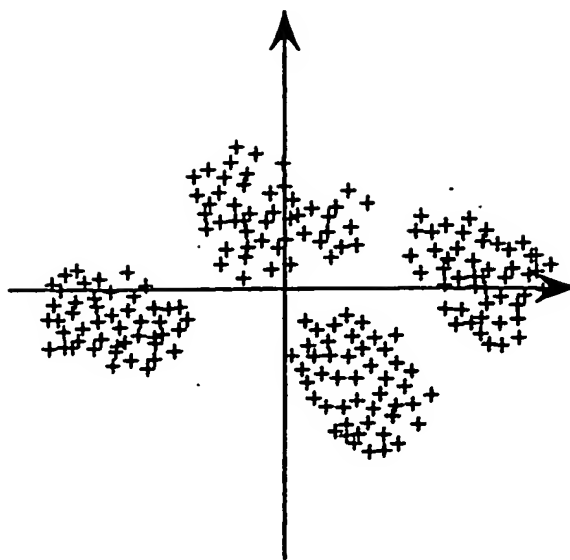


FIG. 1b

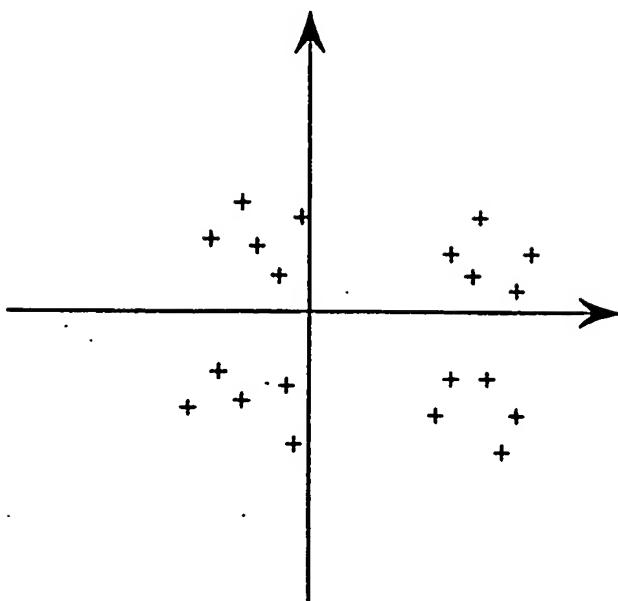


FIG. 2

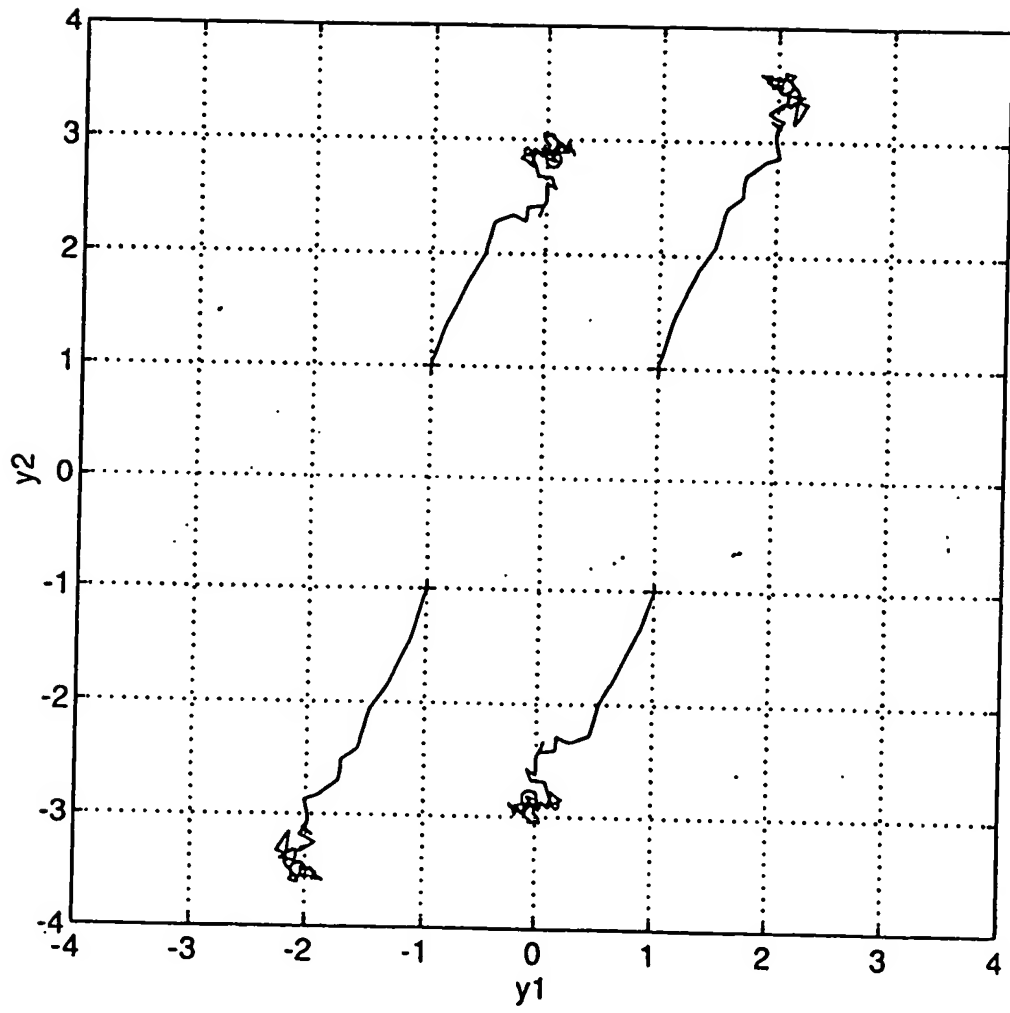


FIG. 3

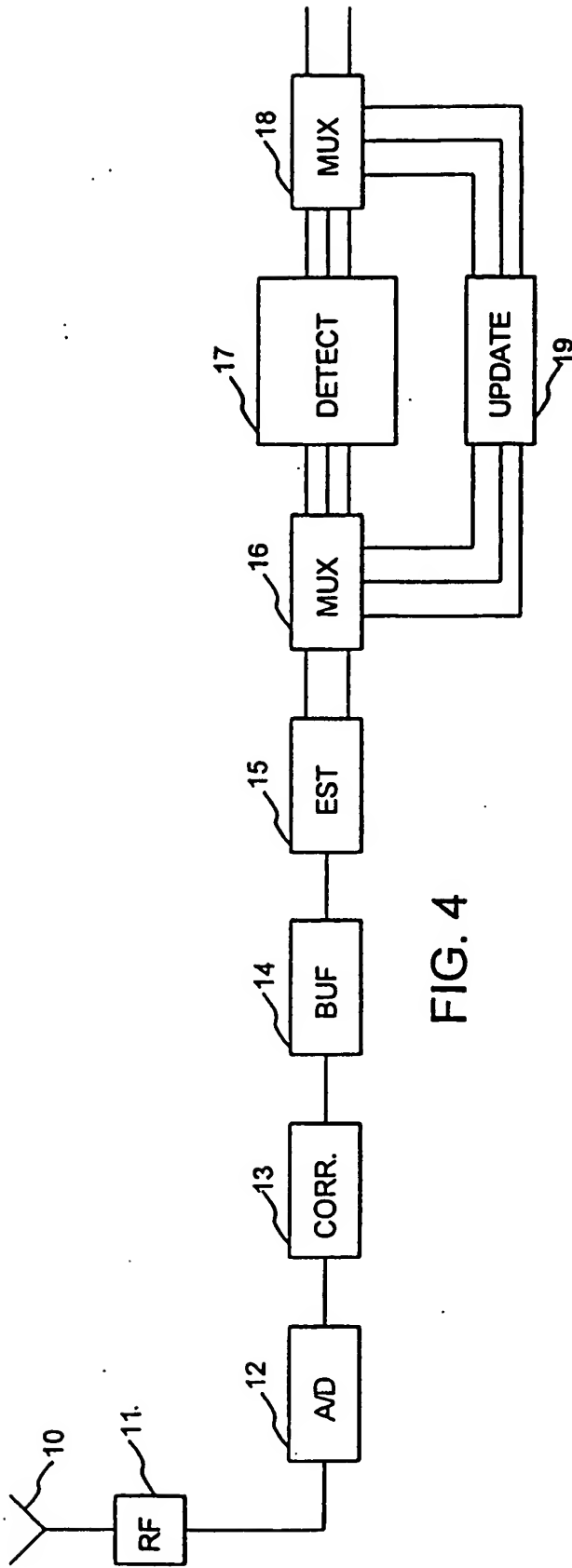


FIG. 4

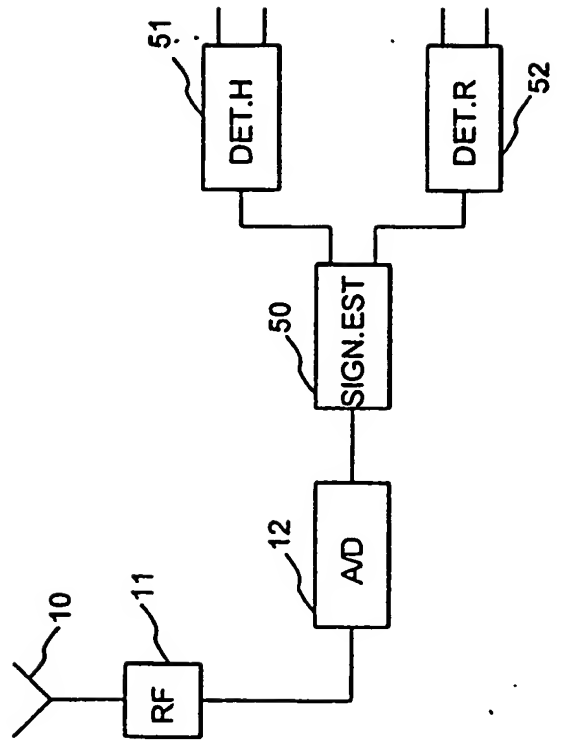


FIG. 5